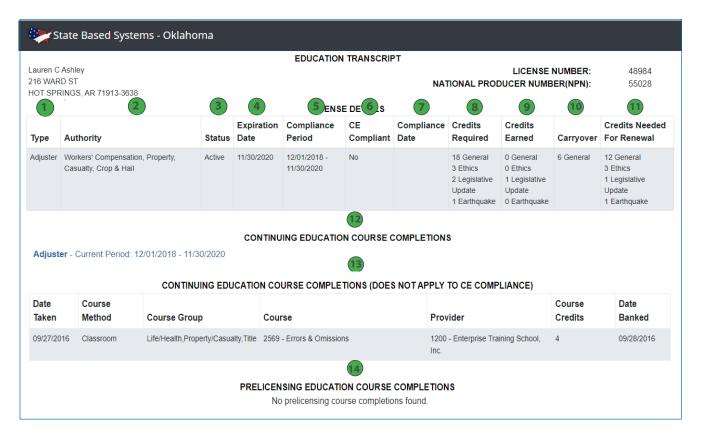


## SBS FOR INDUSTRY • TRAINING GUIDE

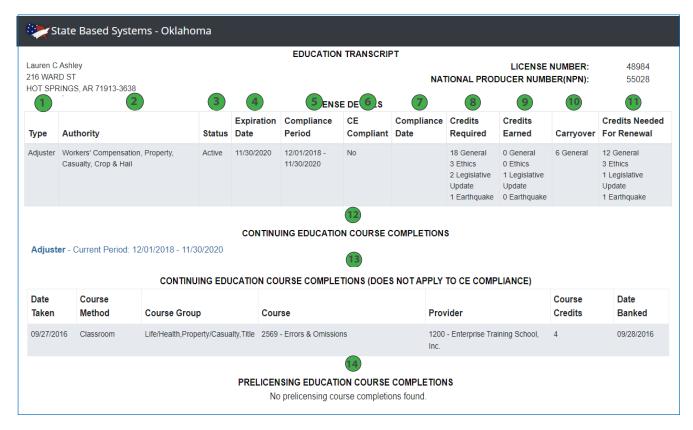
## **HOW DO I:** UNDERSTAND AN EDUCATION TRANSCRIPT?



- 1. Type: The type of license the licensee holds. If a licensee holds more than one, each type will display in a separate row.
- **2. Authority:** The line(s) of authority associated to the license.
- 3. Status: The status of the applicable license.
- **4. Expiration Date:** The date the licensee must renew their license by to maintain their license.
- 5. Compliance Period: The period of time for which the licensee needs to meet CE requirements to be able to renew license.
- **6. CE Compliant:** Displays a 'Yes' or 'No' based on whether or not the licensee has completed the Continuing Education (CE) requirements for the license.
- 7. Compliance Date: Indicates the date for which the licensee completed all CE requirements.
- **8. Credits Required:** A breakdown of required credits to renew by credit type.







- **9. Credits Earned:** The amount of credits the licensee has earned during the current compliance period broken down by credit type.
- **10. Carryover:** For states that accept carryover, this is number of credits that were leftover from the previous compliance cycle that count toward the current compliance cycle. In states where carryover does not apply, this column will not display.
- **11. Credits Needed for Renewal:** The amount of CE credits currently required by credit type for licensee to have met the applicable jurisdiction's CE requirements.
- **12. Continuing Education Course Completions:** Click the 'Compliance Period' links to display a list of CE courses taken within that period that count toward the licensee's CE compliance.
- **13. Continuing Education Course Completions (Does Not Apply to CE Compliance):** Courses taken that do not apply toward CE compliance. CE courses might not apply toward CE compliance for a variety of reasons, but a few examples are:
  - Courses were taken before the First Active Date of the license
  - Courses were taken but the license that doesn't require CE (Example: Non-Resident Insurance Producer)
  - Designated Home State does not require CE
- **14. Prelicensing Education Course Completions:** A list of courses that were taken prior to obtaining the license.



